



SHEET 1 OF 4

INFORMATION DISCLOSURE CITATION PTO-1449			ATTY. DOCKET NO. SUN-P9323	APPLICATION NO. 10/637,166		
			APPLICANT Marc Tremblay et al.			
			FILING DATE 08 August 2003	GROUP ART UNIT 2183		
U.S. PATENT DOCUMENTS						
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
BJ	6,460,124	10-2002	Kagi et al.	711	163	
BJ	2002/0087810	07-2002	Boatright et al.	711	145	
BJ	2004/0186970	09-2004	Kekre et al.	711	62	
BJ	2004/0162948	08-2004	Tremblay et al.	711	137	
BJ	2003/066056	04-2003	Petersen et al.	717	137	
BJ	6,578,088	06-2003	Singhal et al.	707	8	
BJ	6,360,220	03-2002	Forin	707	8	
BJ	5,940,827	08-1999	Hapner et al.	707	8	
FOREIGN PATENT DOCUMENTS						
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation Yes No
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
BJ	Publication entitled "Speculation-Based Techniques for Transactional Lock-Free Execution of Lock-Based Programs", by Ravi Rajwar, Online! 2002, XP002286237, Retrieved from the internet: URL: http://bbcr.uwaterloo.ca/{brecht/courses/856/readings-new/rajwar02speculationsbased.pdf					
BJ	Publication entitled "Checkpoint Processing and Recovery: Towards Scalable Large Instruction Window Processors", by Haitham Akkary et al., Proceedings of the 36 th International Symposium on Microarchitecture, 2003, IEEE					
BJ	Publication entitled "Multiple Reservations and the Oklahoma Update", by Janice M. Stone et al., IEEE Parallel & Distributed Technology, November 1993, Pages 58-71.					
EXAMINER <i>/Brian Johnson/</i>	DATE CONSIDERED 06/27/2006					

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

SHEET 2 OF 4

INFORMATION DISCLOSURE CITATION PTO-1449		ATTY. DOCKET NO. SUN-P9330CIP		APPLICATION NO. 10/637,166		
		APPLICANT Marc Tremblay et al.				
		FILING DATE 08 August 2003		GROUP ART UNIT 2183		
U.S. PATENT DOCUMENTS						
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
BJ	2003/0079094	07-2002	Rajwar et al.	711	150	
BJ	2002/0178349	11-2002	Shibayama et al.	712	235	
BJ	6,681,311	01-2004	Gaskins, et al.	711	203	
FOREIGN PATENT DOCUMENTS						
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation
						Yes
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
BJ	Publication entitled "Improving the Throughput of Synchronization by Insertion of Delays", by Ravi Rajwar et al. Proceedings of the Sixth International Symposium on High-Performance Computer Architecture, 8-12 January 2000, Pages 168-179.					
BJ	Publication entitled "Checkpoint Processing and Recovery: An Efficient, Scalable Alternative to Reorder Buffers" Haitham Akkary et al. IEEE Computer Society, November-December 2003, Pages 11-19.					
BJ	Publication entitled "Multi-view Memory to Support OS Locking For Transaction Systems", P. Bodorik et al., IEEE, 1997, Pages 309-318.					
BJ	Publication entitled "Indexing for Multiversion Locking: Alternatives and Performance Evaluation", Paul M. Bober et al, IEEE Transactions on Knowledge and Data Engineering, Vol. 9, No1, January-February 1997, Pages 68-84.					
BJ	Publication entitled: "Transactional Execution: Toward Reliable, High-Performance Multithreading" by Ravi Rajwar et al. IEEE Computer Society, November-December 2003, Pages 117-125					
EXAMINER	/Brian Johnson/		DATE CONSIDERED 06/27/2006			

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

SHEET 3 OF 4

INFORMATION DISCLOSURE CITATION PTO-1449			ATTY. DOCKET NO. SUN-P9330CIP		APPLICATION NO. 10/637,166	
			APPLICANT Marc Tremblay et al.			
			FILING DATE 08 August 2003		GROUP ART UNIT 2183	
U.S. PATENT DOCUMENTS						
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
BJ	6,941,449	09-2005	Ross	712	35	
BJ	6,021,480	03-2002	Forin	707	8	
BJ	6,918,012	07-2005	Venkitakrishnan et al	711	150	
BJ	5,701,432	12-1997	Wong et al.	711	130	
BJ	5,974,438	10-1999	Neufeld	718	104	
FOREIGN PATENT DOCUMENTS						
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation
						Yes No
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
BJ	"The Transaction Concept: Virtues and Limitations" by Jim Gray. Pro. Int'l Conf. Very Large Databases, Morgan Kaufman, 1981. pages 144-154					
BJ	"Toward Efficient and Robust Software Speculative Parallelization on Multiprocessors" by Marcelo Cintra and Diego R. Lianos, PPoPP' 03 June 11-13, 2003 ACM 1-58113-588-2/03/0006 pages 13-24.					
BJ	"Microsoft Computer Dictionary" Fifth Edition, pub 2002, page 378					
EXAMINER /Brian Johnson/			DATE CONSIDERED 06/27/2006			

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION PTO-1449			ATTY. DOCKET NO. SUN-P9330CIP		APPLICATION NO. 10/637,166	
			APPLICANT Marc Tremblay et al.			
			FILING DATE 08 August 2003		GROUP ART UNIT 2183	
U.S. PATENT DOCUMENTS						
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
BJ	6,185,577	02-2001	Nainani et al.			
BJ	5,428,761	06-1995	Herlihy et al.	711	130	
BJ	5,835,764	11-1998	Platt et al.	718	101	
BJ	5,742,785	04-1998	Stone et al.	712	217	
FOREIGN PATENT DOCUMENTS						
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation
						Yes
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
EXAMINER	/Brian Johnson/		DATE CONSIDERED			
			06/27/2006			

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.